



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,460	06/29/2001	Joseph G. Gatto	23449-013

CONFIRMATION NO. 7177

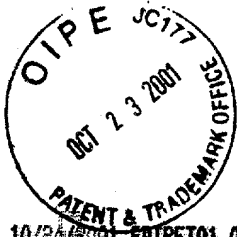
## FORMALITIES LETTER



\*OC000000006350338\*

MINTZ LEVIN COHN FERRIS GLOVSKY AND POPEO PC  
11911 Freedom Drive, Suite 400  
Reston, VA 20190

Date Mailed: 07/27/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

10/24/2001 SDIRETR1 00000074 09893460

01 FC:205

65.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

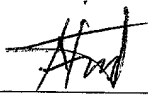
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/11/2011 11:11:11 AM

PATENT  
Docket No.: 23449-013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:  
JOSEPH G. GATTO

SERIAL NO.: 09/893,460

FILED: June 29, 2001

FOR: SECURITY ANALYST ESTIMATES  
PERFORMANCE VIEWING SYSTEM  
AND METHOD



Group Art Unit: 2164

Examiner: *Not yet assigned*

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith for filing in the present application are the following documents:

1. Response to Notice to File Missing Parts with copy of Notice to File Missing Parts of Application and executed Declaration and Power of Attorney attached;
2. Petition for Extension of Time Under 37 C.F.R. 1.136(a) (in duplicate);
3. Recordation Form Cover Sheet with executed Assignment attached;
4. Submission of Substitute Drawings, with 58 pgs. formal drawings attached; and
5. Check in the amount of \$160.00.

Our check for \$160.00 is enclosed covering any required fees. In the event any variance exists between the amount enclosed and the Patent and Trademark Office charges, please charge or credit the difference to our Deposit Account 50-0311, Reference No. 23449-013. A duplicate copy of this letter is enclosed for that purpose.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned at (703) 464-8130.

Date: October 23, 2001

Respectfully submitted,

  
Bradford C. Blaise

Registration No. 47,429

For: James G. Gatto

Registration No. 32,694

Mintz, Levin, Cohn, Ferris,  
Glovsky and Popeo, P.C.  
11911 Freedom Drive, Suite 400  
Reston, Virginia 20190  
(703) 464-4803

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : JOSEPH G. GATTO

SERIAL NUMBER : 09/893,460

EXAMINER : *Not assigned*

FILING DATE : June 29, 2001

ART UNIT : 2164

FOR : SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING  
SYSTEM AND METHOD

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

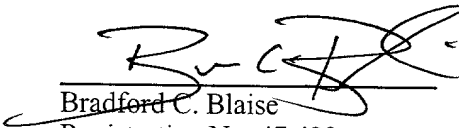
RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice To File Missing Parts mailed July 27, 2001, Applicants submit herein payment of the surcharge fee (\$65.00) set forth in 37 CFR 1.16(e). Also submitted herein is an executed Declaration and Power of Attorney, together with a copy of the Notice to File Missing Parts. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 23449-013.

Date: October 23, 2001

Respectfully submitted,

  
Bradford C. Blaise

Registration No. 47,429

For: James G. Gatto

Registration No. 32,694

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Glovsky and Popeo, P.C.

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45474

RES 55741v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JOSEPH G. GATTO

Serial No.: 09/893,460

Filed: June 29, 2001



Art Unit: 2164

Examiner: *Not Assigned*

For: **SECURITY ANALYST ESTIMATES  
PERFORMANCE VIEWING SYSTEM  
AND METHOD**

The Assistant Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF SUBSTITUTE DRAWINGS**

Dear Sir:

Submitted herewith are fifty-eight (58) pages of substitute drawings in the above-identified Patent Application. The drawings are now in compliance with 37 C.F.R. 1.84.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "B. C. Blaise".

Bradford C. Blaise

Registration No. 47,429

For: James G. Gatto

Registration No. 32,694

Date: October 23, 2001

MINTZ, LEVIN, COHN, FERRIS, GLOVSKY, AND POPEO, P.C.  
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